

Client Name:

Account Number:

## Capital Wealth Planning Custom Transition Strategy

The Raymond James Consulting Services (RJCS) Capital Wealth Planning Custom Transition Strategy is designed to provide enhanced portfolio diversification over time and tax management utilizing professional portfolio management. This involves the selling of positions over the course of a time period requested by the client to help limit tax liability in any one calendar year. It is intended to accommodate clients seeking to phase out of their current stock positions and attempt to control their taxable gains in the process. The Client understands, acknowledges and accepts the risks associated with such a request, including the risk that the securities will decline in value prior to their sale by Capital Wealth Planning. The risks are enhanced to the extent that a holding of a particular security represents a significant portion of the account.

The minimum portfolio account size is **\$1,000,000.00**.

Investment Discipline:	
Number of tax years for workout (starting from account inception): <input type="radio"/> 2 Years <input type="radio"/> 3 Years	Annual Gain Target per Year:
Additional Instructions:	

Client understands that:

- Capital Wealth Planning must approve each Custom Portfolio request in writing before investment management will commence; your Advisor will be informed promptly in the event of Capital Wealth Planning's non-acceptance.
- Capital Wealth Planning's Custom Transition Strategy is only available for taxable accounts.
- Capital Wealth Planning's Custom Transition Strategy is intended to provide tax-sensitive investment management and neither Capital Wealth Planning nor Raymond James will provide tax advice through this portfolio.
- Capital Wealth Planning will have investment trade discretion for assets while part of the Custom Transition Strategy.
- Capital Wealth Planning may realize gains sooner, if it is determined that investment fundamentals warrant such action.
- As funding positions are sold, proceeds from the sales will be invested into the selected model portfolio.
- Capital Wealth Planning will rely on the cost basis information furnished by Raymond James in determining tax impacts of selling positions.
- Once the funding position(s) is/are fully liquidated, the portfolio will automatically transition to the corresponding RJCS discipline. You will receive a notice at that time.

Client Name:
--------------

Account Number:
-----------------

Neither Capital Wealth Planning nor Raymond James guarantee the effectiveness of Custom Transition Strategy in reducing or minimizing the client's overall tax liabilities or the tax effects arising from any transactions effected in their account.

This form must be signed by the client and then submitted to [info@capitalwealthplanning.com](mailto:info@capitalwealthplanning.com) for review by CWP. Once accepted by CWP, you will receive this form with CWP's signature, which should then be submitted along with the RJCS Client Agreement through the Service Center Portal. AMS will not process the RJCS Client Agreement without this fully signed and approved form. Please do not submit the RJCS Client Agreement prior to receiving the CWP approved form.

### Signatures

Client Signature:	Advisor Signature:
Client Signature:	

### CWP Acceptance

By:	Date:
-----	-------